P.03V03 Assessment Policy and Guidelines

Assessment

What is competency?
Competency involves the specification of skills and knowledge and their application to a particular standard of performance required in the workplace. Aspects of work performance included in this concept involve:
- Performance at an acceptable level of technical skill;
- Organising one's tasks;
- Responding and reacting appropriately when things go wrong; and
- Transferring skills and knowledge to new situations and contexts.

Standards are statements of the required workplace levels of performance.

Assessment
Assessment is the process of collecting evidence and making judgements on the extent and nature of performance and other requirements, as described in a set of standards, or learning outcomes, resulting in a judgement of whether or not competency has been demonstrated.

Effective and objective assessment is critical to the successful implementation of competency standards in the workplace and in education. This is the judgement of performance and knowledge against the relevant industry competency standards.

Assessment is carried out by the comparison of a candidate's evidence of skills and knowledge, against the requirements of the Standards.

Underlying principles of assessment
For an effective assessment system in a competency environment, some basic principles must apply.

Validity
The assessments actually assess what they claim to assess and what they have been designed to assess.

Validity of assessment is achieved when:
- Assessors are fully aware of what is to be assessed, as indicated by the standards of competence, including clearly defined performance criteria;
- Appropriate evidence is collected from activities that can be clearly related to the units of competency.

Authenticity
- The evidence collected is authentic - that is, it is actually derived from valid sources and is directly attributable to the individual.

Reliability
Reliable assessment uses methods and procedures that ensure that the competency standards are interpreted and applied consistently from person to person and from context to context.

The following are important to ensure that assessment produces consistent outcomes:
- Clear, unambiguous, well documented assessment procedures and competency standards;
- Clear, consistent and specific assessment criteria;
- Effectively trained, briefed and monitored assessors;
- Adequate assessors across industries and a hierarchy of assessment which ensures a quality outcome; and
• Assessment is carried out within a system flexible enough to cope with multiple and diverse forms of evidence.

Consistency
The assessment system must ensure that evidence collected and provided for judgement is consistent across the range, without undue reliance on any small number of select workplace contexts or projects.

Currency
Under an effective system, assessment evaluates whether or not the individual's skills and knowledge are current and can be applied in today's workplace. As a general rule, competencies that have not been demonstrated within the past 3 years are not usually accepted as "current". However, an assessor, under some circumstances may make exceptions to the specified period. There may be specific situations where individual skills have not been directly applied for a longer period, but these skills are in fact still current for the individual. In cases such as this, evidence from earlier periods may be admissible, and assessed for currency, within an appropriately flexible assessment system.

Sufficiency
Evidence of competency should be sufficient to cover all the elements, performance criteria and required range of variables in the standards against which assessment is to be carried out. A tendency of many candidates is to provide more (or less) evidence than is actually required to prove competency against the standards. An effective assessment system ensures that candidates are clearly advised regarding the amount and form of evidence, which is sufficient to prove competency. This should avoid the situation where masses of evidence are provided, requiring assessors to spend more time than necessary per candidate, or too little evidence, making it difficult to judge competence.

Flexibility
Every portfolio or set of candidate evidence is unique. Each candidate will identify and develop his or her own specific set of evidence to prove competency against the standards. This set will be based on the workplace experience of the candidate and will comprise diverse types and forms of relevant and appropriate evidence. Assessors must be capable of taking a flexible approach to the assessment of evidence. Clearly, this approach must always take time and cost into account - both to ensure the best use of assessor time and from the viewpoint of the candidate and his or her employer. An assessment system must evaluate the scope of knowledge and skills covered by the criteria - both performance (skill) and underpinning knowledge & understanding.

Fairness and Equity
An assessment system and its processes must not disadvantage any person or organisation. All eligible candidates must be guaranteed access to assessment, which does not discriminate on any basis. Assessment guidelines must include an approach for working with candidates who have special needs. To achieve these principles, the assessment system must exhibit the following characteristics:
• The standards, assessment processes and all associated information are straightforward and understandable;
• The characteristics of potential candidates are identified, to enable all potential assessment issues to be identified and catered for;
• The chosen processes and materials within the system of assessment do not disadvantage candidates;
• An appropriate and effective review and dispute resolution mechanism is in place to investigate, examine and redress any issue of unfairness or disadvantage identified, involving access, assessment, certification or any other related issue; and
• Where potential disadvantages are identified, the system is amended to avoid or counter them, or appropriate steps taken to overcome them including reassessment if required.

Assessors

The role of an assessor is to objectively assess and judge a candidate's evidence against a set of standards. In order to do this effectively, an assessor must have a sound knowledge of, and be skilled in, the relevant industry area. In addition, the assessor must have acknowledged competency in assessment itself and hold an appropriate training and assessment qualification or equivalent.

An assessor must:
• Interpret and understand the criteria;
• Ensure that evidence meets the standards;
• Ensure that evidence is valid, authentic, reliable, consistent, current and sufficient; and
• Use expertise to make fair and objective judgements.

The training and ongoing professional development of assessors must include such areas as:
• Roles, responsibilities and ethics;
• Procedural and administrative duties;
• Performance and knowledge evidence gathering and presentation;
• Interpretation and usage of standards;
• Selecting and using appropriate methods of assessment; and
• Requirements regarding processing and recording of results, progress and feedback.

It is crucial that assessors always understand and practise fair, objective, unbiased and flexible assessment processes.

Forms of evidence

In general, basic forms of skills evidence include:
• Direct performance evidence - current or from an acceptable past period - from:
  - extracted examples within the workplace;
  - natural observation in the workplace; and
  - simulations, including competency and skills tests, projects, assignments
• Supplementary evidence, from:
  - oral and written questioning;
  - personal reports; and
  - Witness testimony.

Appropriate and valid forms of assessment utilised for both skills and knowledge may include:
• Evaluation of direct products of work;
• Natural observation;
• Skill tests, simulations and projects;
• Evaluation of underpinning knowledge and understanding;
• Questioning and discussion; and
• Evidence from prior achievement and activity.

Candidates with special needs

One fundamental principle of an assessment system is that each candidate must have access to fair and open assessment. Candidates with special needs should be offered the same opportunities as any other candidate.

As special needs extend to more than identify physical or learning difficulties, an assessor will also need to consider the best approach when dealing with candidates with needs such as low literacy, lack of confidence or non-English speaking background.
An assessor must take special needs into consideration from the planning stage onwards and adopt particular assessment methods as appropriate. Depending on any specification given in the standards, the assessor may be able to accept alternative evidence from a candidate with special needs. If there is uncertainty, the assessor should call on other assessors or a verifier for assistance and guidance, as required. In such a case, the situation must be fully documented, with appropriate feedback being provided to the candidate at all stages.

**Reasonable adjustment**

To meet the needs of all learners’ adjustments can be made to the way assessments are conducted but not to the requirements of the assessment. The purpose of these adjustments is to enhance fairness and flexibility so that the specific needs of students can be met.

Examples of reasonable adjustments

- providing additional time for student to practice the assessment tasks
- presenting questions orally for students with literacy issues
- asking questions in a relevant practical context
- adapting machinery and equipment to make it more easily used
- presenting work instructions in diagrammatic or pictorial form instead of words and sentences
- simplifying the design of job tasks

It is the responsibility of each assessor to assess student needs and make whatever reasonable adjustments are practicable to maximise a students’ opportunity to demonstrate their competence. Assessors may also consider contacting their colleagues or their supervisor to assist in the development of reasonable adjustments to the assessment requirements of this unit.

**Assessment Guidelines**

All assessments are identified on the Unit Descriptor as a part of the assessment requirements.

- Students who are absent from an assessment due to illness or other serious cause must apply to the teacher of the unit/s of competency to undertake the assessment at another time. A medical certificate must be provided to the teacher.
- Students must be seated in the classroom at the commencement time.
- Pencil cases are not permitted. Students must display writing implements on the desk.
- Calculators are permitted.
- Foreign language dictionaries must be approved by the teacher/assessor before being used.
- Notes / books are not permitted unless the assessment is designated as open book.
- Talking is not permitted.
- Bags must be left at the front of the room.
- Reading time is permitted in addition to the assessment time. This is usually 5 minutes for every hour of the assessment, e.g. 5 minutes reading time for a one-hour assessment, 10 minutes for a two-hour assessment, etc.
- Late arrivals will only be admitted during reading time.
- Students may not leave the classroom during the first thirty minutes, or last ten minutes of the assessment.

**Irregularity for the purposes of student examination or other legitimate assessment processes**

The unauthorised use or attempted use by or for any student of any means to gain unfair advantage in any examination, test, assignment, essay or other work, the assessment of which forms part of the final assessment. It includes any action taken by a student which would constitute an unfair advantage or intentionally fraudulent attempt to demonstrate competency in an examination or
assessment context which forms part of a final assessment. An irregularity includes misconduct and plagiarism.

Participants will be advised of the assessment requirements at the beginning of each unit.

Assessment will usually commence in the session following delivery and may take considerable time to complete both the theory and practical requirements. As this is a competency based program, assessment continues throughout the program until the participant either achieves competency in the assessment tasks or a further training need is identified and addressed.

The assessment process may include theory, projects and practical assessments.

Each unit has an individual assessment tool and mapping document which establishes the details assessment methodology including:

- Outlining the assessment methods
- Providing instructions for the assessor
- Providing instructions for the students
- Being mapped to the unit of competency through a separate mapping document
- Ensuring assessment is summative

Formative assessment is provided throughout the course in terms of practice. This is achieved through using the tasks outlined in the learners’ resources as practice. These tasks are separate to the summative assessment process that concludes each unit of competency.